#### **ASEAN IP Register Coordinator Meeting**

## **Expectations for ASEAN IT systems**

## **NAGANO Shiho**

**Patent Information Policy Planning Office** 



- 1 What's FIT/Japan IP Global?
- 2 Bilateral DATA Exchanges
- 3 JPO IT systems
- 4 Hot Topics
- **Expectations for ASEAN patent offices**

What's FIT/Japan IP Global?

### Funds-In-Trust Japan Industrial Property Global

- Funds-In-Trust Japan Industrial Property Global (FIT/Japan IP Global) supports developing countries to improve their knowledge of IP systems and enhance their IP capabilities to facilitate innovation and technology transfer worldwide.
- Since the Japan Patent Office (JPO) made its first voluntary contribution to WIPO in 1987, it contributed to more than 90 million CHF in total to more than 80 countries in Asia and Pacific region and African region for supporting diverse activities in the field of Intellectual Property.



## **IT systems-related Contributions**

- FIT Japan IP Global has supported the development of WIPO's IT systems and organized seminars or workshops to encourage their use in developing countries.
- From 2014 to 2019, WIPO's support to IP offices in the ASEAN region and Africa region utilizing the FIT Japan IP Global has achieved following several milestones.

#### **WIPO CASE**

- developed and made available in the ASEAN region
- successfully linked to the IP5\* One Portal Dossier
- public access was implemented through the IP5 Global Dossier and through WIPO PATENTSCOPE

\*IP5: JPO, USPTO, EPO, CNIPA and KIPO

#### WIPO products

enhanced to provide better solutions for IP administration and for online filing and online publication of IP data

#### ASEAN PATENTSCOPE

developed and patent data from 9 ASEAN offices has become available

#### **IP** Register

developed one portal access to WIPO Publish, WIPO IPAS, WIPO Publish

### Recent projects for IT systems development

## Digitization Project in Thailand

➤ In 2022, WIPO completed a digitization project in Thailand in cooperation with the DIP and with support from the FIT/Japan IP Global.

#### Sharing-Best-Practices Workshop on Smarter IP Office Business Services

➤ From December 5 to 9, 2022, WIPO, together with the IPOPHL, co-organized a sharing-best-practices workshop on smarter IP office business services in Manila, the Philippines, with support from FIT/Japan IP Global.

### Integration of WIPO CASE functionality within IPAS

➤ In 2022, WIPO completed a project to integrate WIPO CASE functionality within IPAS with support from the FIT/Japan IP Global.

## **DATA Exchanges**

#### **DATA Exchanges between IP Offices**

#### Benefits by Data Exchange



#### For IP Offices

Examiners can conduct the prior art search with the exchanged data

#### For Users

• Infringement search and technology trends search will be more accurate with the exchanged data



- Quality of examination of both IP office will be improved
- Both countries can boost their economy by welcoming more investments with less barriers for respective market entries

#### **Current Status of DATA Exchanges**

- ➤ We have data Exchange policies with individual 20 Countries.

  United States, EPO, China, EUIPO, WIPO, Germany, France, Russia, Canada,
  Australia, Ukraine, Singapore, Thailand, Philippine, Malaysia, Vietnam, Indonesia,
  Thailand, and Saudi Arabia
- >We are Exchanging data between individual 15 countries.

Countries/ Regions	Agree ment	Data Exchange	Public provision	Current State
Singapore	$\bigcirc$	Δ	×	Data has not been arrived since 2015.→ Preparing new MOU
Thailand	$\bigcirc$	$\bigcirc$	×	Data has not been arrived since 2020. → Arriving Now.
Malaysia	0		×	Data has abstract only. Data had better include followings.  • claim, description, figures  • gazettes of patent and design Often cannot access download cite. Data more than 10MB is impossible to be downloaded.
Vietnam	0	0	×	Data has abstract only. Data had better include followings. • claim, description, figures • gazettes of patent and design
Indonesia	0	0	0	Data has abstract only. Data had better include followings. • claim, description, figures

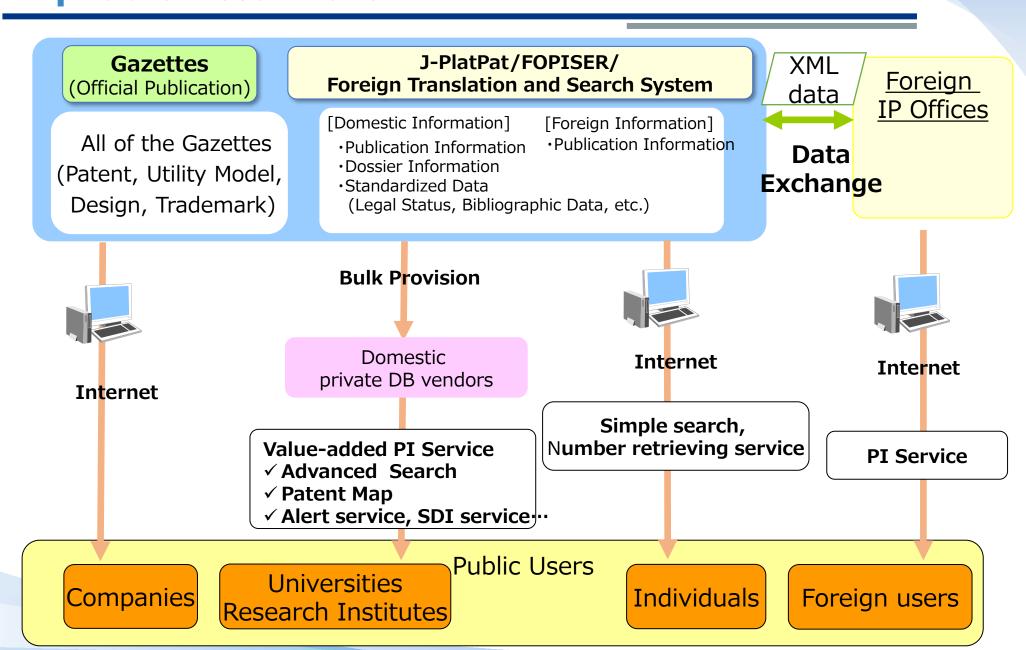
#### **FOPISER**

#### FOPISER (Foreign Patent Information Service)

• FOPISER provides exchanged data to Japanese users

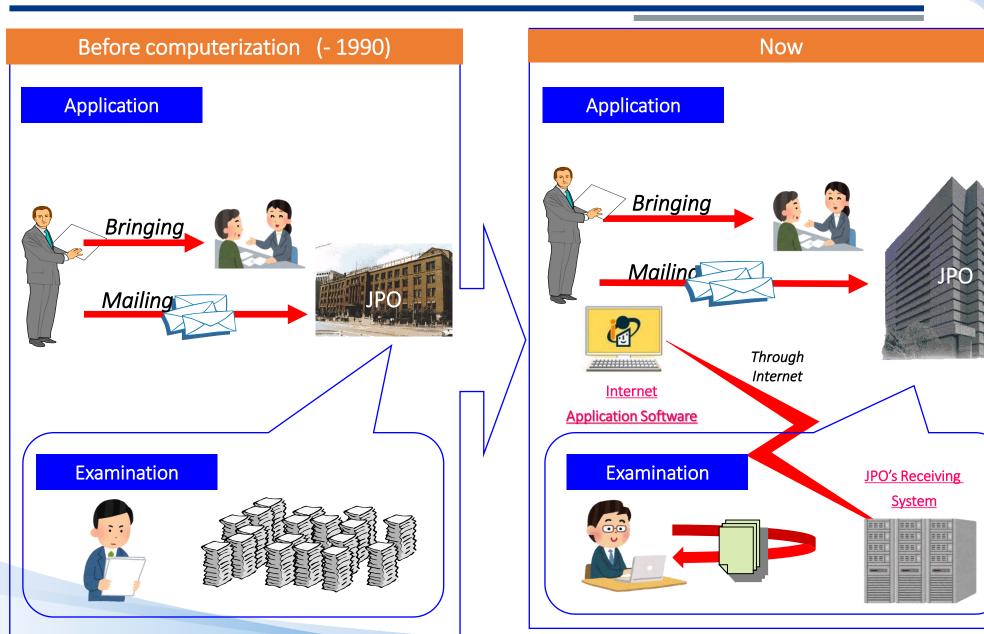


#### **Public Dissemination**



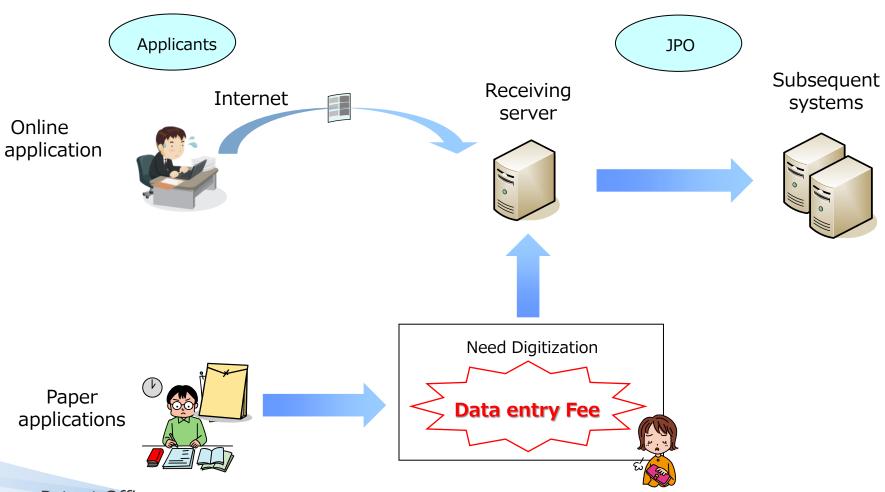


## **Background of JPO Computerization**



## **Online and Paper Applications**

> Applicants are able to submit an application to the JPO either online or on paper.



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## E-filing procedures in JPO

To Prepare e-filing circumstances:

- Purchase e-certification
- Download e-filing software

e-certification







e-filing software

Register ecertification to the JPO. E-filing software imports HTML files and converts them into 2 XML files. Applicants can verify the conversion results before filing.



1) Applicants draft an application with commercially available word processing software such as "MS WORD" and save it as a HTML document.



Application (XML)

2) Applicants import the application into e-filing software. The e-filing software converts the HTML document into XML and conduct formality check roughly.













3

Applicants receive XML documents from JPO via e-filing software.

Applicants submit the XML formatted documents to the JPO via e-filing software.

## **Benefits of computerization**

#### Office's benefits

 Improvement of the effectiveness of business operations

#### **Public Users' benefits**

- Improvement of procedural convenience in filing, etc.
- Timely and user-friendly utilization of IP information

#### Benefits of gazettes processed by text

#### Office's benefits

- Higher quality of search by full text
- Easy to write documents (grant/refusal, etc.) by editing the text of gazette

#### **Public Users' benefits**

- Appropriate filing and right by searching and comparing with prior art
- → High quality filing lighten the load of patent examiner search



## J-PlatPat (Japan platform for patent information)

J-PlatPat is IP Data search service of JPO (run by INPIT), and one of the largest, internet-based patent information databases in Japan.



URL: https://www.j-platpat.inpit.go.jp/

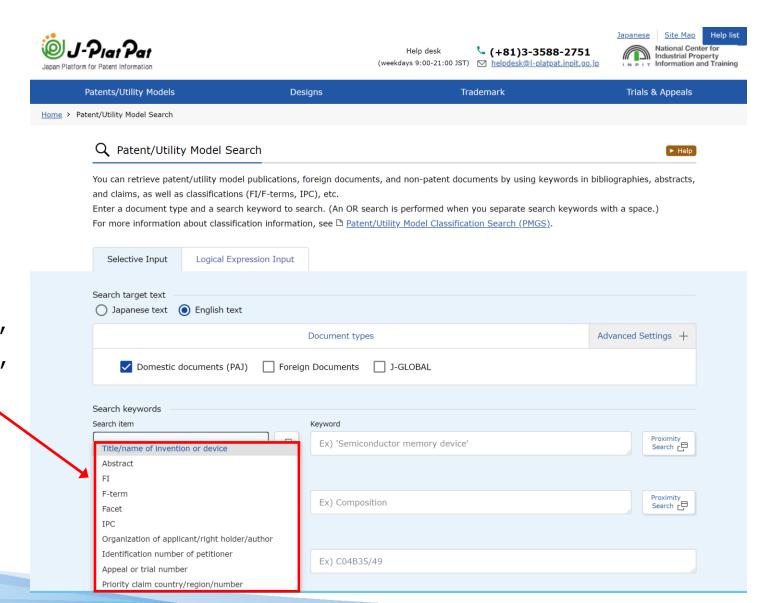
## √ 130 million domestic and foreign publications

- Patent, Utility model, Design, and Trademark
- Examination records, Trial/Appeal info. (updated 1 day after the reception)
- Legal status, Global dossier information

#### √ Simple and user-friendly interface.

- "Simple search" with keywords without the specification of advanced criteria
- AI-based machine translation
- ✓ Over 100 million accesses per year

#### **Search items of J-PlatPat**



Searchable by text, classification, number, applicant, etc.

#### J-PlatPat - Release schedule

#### Released in 2023

- ✓ <u>Relaxation of download ceilings</u> (Entire publication DL, OPD examination document DL)
- ✓ <u>Relaxation of split size ceiling</u> of long publication PDF of patents & utility models
- ✓ Implementation of <u>page feeding function</u> of long publication of patents & utility models
- ✓ Addition of image design search function, "GrIP" in the global menu
- ✓ Another tab is displayed when clicking PMGS link
- ✓ Provision of Regal Status Data

#### To be released in February 2024

- ✓ Addition of information (e.g. gazette numbers) in the division application information screen
- ✓ Provision of simplified URL of document fixed address
- ✓ <u>Partial matching search (as names</u>) of trademark search and trial decision search
- ✓ Addition of <u>functions to save, import/export logical expression</u> of all jurisdictions
- ✓ <u>Countermeasures against large volume of accesses</u> during <u>trademark</u> search and display of trademark application and registration information

## **Provide Legal Status Data**

✓ JPO provides the Patent Legal Status Data on J-PlatPat.

#### Before

#### Q Search Result List

No.	Document number	Application number	Filing date ▲	Publication date	Title of the invention ▲	Applicant/Right holder	FI	Options
1 		JP,2020-008423	Jan.22,2020	Apr.28,2020	管理システム及び管理方法	特許庁長官	G06F16/31 G06Q50/18,310 G06F16/00	☐ Details
	<u>JP,6691280,B</u>							□ OPD
								L <sup>†</sup> URL

#### Update

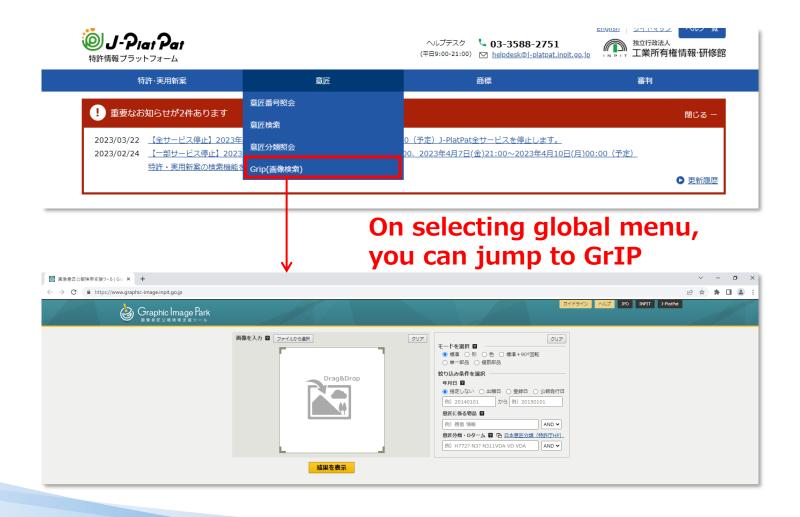
#### Search Result List

No.	Document number	Application number	Filing date ▲	Publication date	Title of the invention ▲	Applicant/Right holder	Status 🔺	FI	Options
1	<u>JP,6691280,B</u>	JP,2020-008423	Jan.22,2020	Apr.28,2020	管理システム及び管理方法	特許庁長官	<b>Grant</b> IP right granted following substantive examination	G06F16/31 G06Q50/18,310 G06F16/00	Details  Details  Details  Details

Stage + Event of WIPO ST.27

## Improve accessibility to GrIP (Graphics Image Park)

- > GrIP link is added into global menu of J-PlatPat
- > Get easy access to design image search function

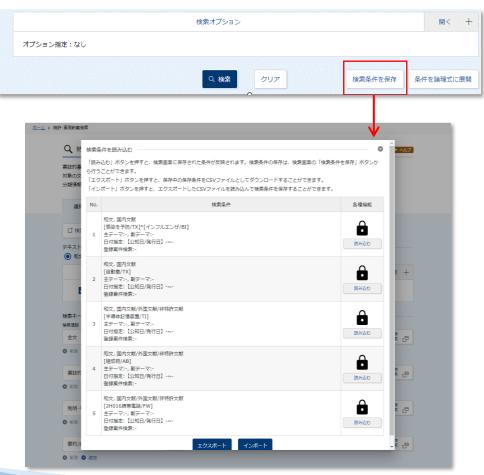


特許庁

## Preserve/Import/Export search formulas

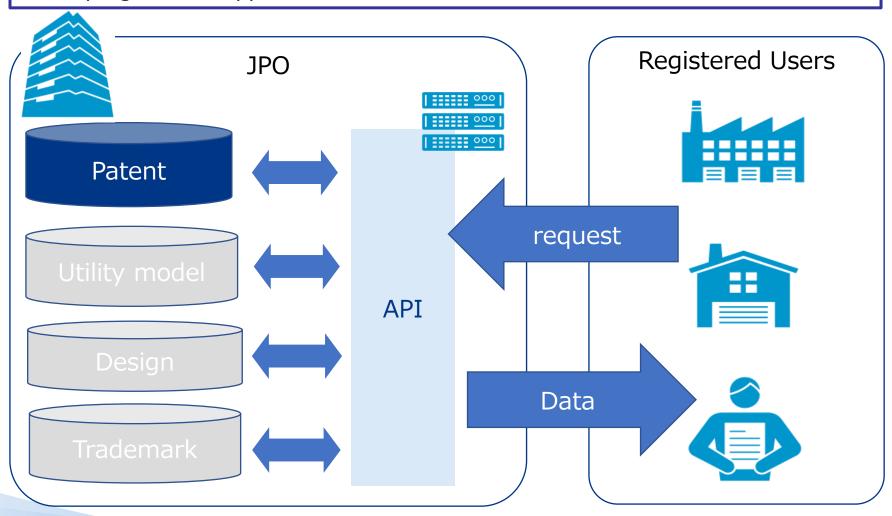
- > Preserve/import/export up to 5 search formula.
- > It enables users to search documents efficiently

#### (User Interface Image)



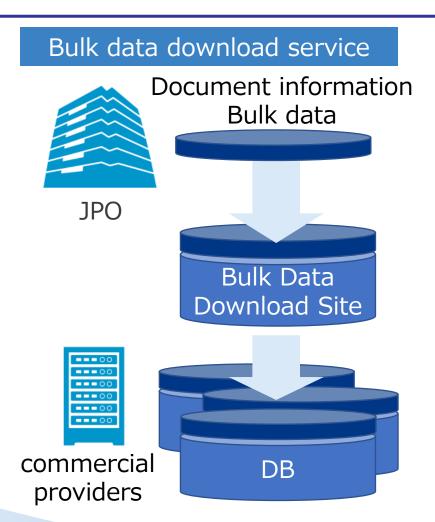
## **APIs for acquiring IP information**

APIs provided by the JPO enable users to acquire patent information directly in user's programs or Apps.



## **Benefits of using APIs**

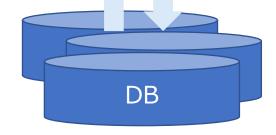
APIs can contribute to lower cost of handling patent information.







Display document information only when requested



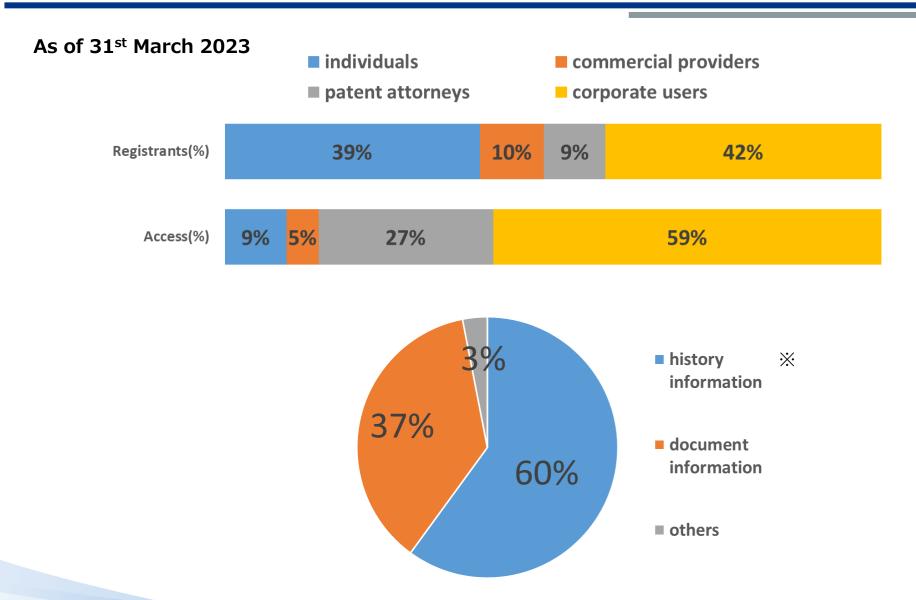
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## **APIs for acquiring IP information**

There are several types of information provided by the APIs.

	Types of APIs	Formats of files	Limits of access per day	
1	Application History	JSON	400	
2	Application History(simple)	JSON	400	
3	Divisional information	JSON	30	
4	Priority information	JSON	30	
5	Applicant name	JSON	200	
6	Applicant code	JSON	200	
7	Patent number reference	JSON	50	
8	Applied document	XML (in a ZIP file)	100 or 500※	
9	Office action document	XML (in a ZIP file)	100 or 500※	
10	Notice of Reasons for Refusal	XML (in a ZIP file)	100 or <mark>500</mark> ※	
11	Cited document	JSON	50	
12	Registration information	JSON	200	

#### **Patent Information Retrieval APIs**



## **Application History API Service**

- ✓ JPO starts to provide API retrieval in April 2023
- Design
- Trademark
- OPD
- ✓ Design API Specific contents
- Design classification and principal design application number
- International design registration number and design number
- ✓ Trademark API Specific contents
- Trademark for display, transliteration, vienna classification, classification of designated category name and codes for classification based on similarity
- ✓ OPD API
- Examination Procedure data of IP 5

## **Purposes to use the APIs**

#### Individuals

- for obtaining data in research (text mining, translation, etc.)
- for evaluating procedures of examination

#### Patent attorneys

- for updating in-house DB automatically
- for making formats of response documents automatically

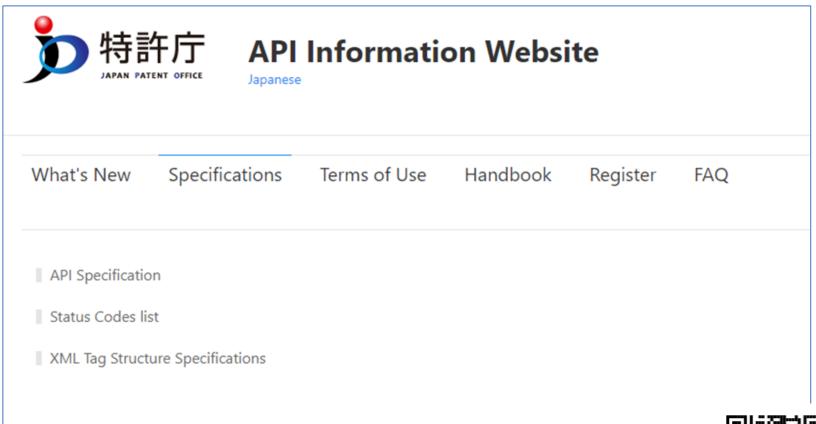
#### Corporate users

- for updating in-house DB automatically
- for monitoring competitors' applications
- for analyzing reasons for refusal

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## **Access to API Information Website**

Detailed information about the APIs can be obtained on the website below.





**Expectations for ASEAN patent offices** 

### **Expectation for ASEAN offices**

#### **Data (by text) Exchange**

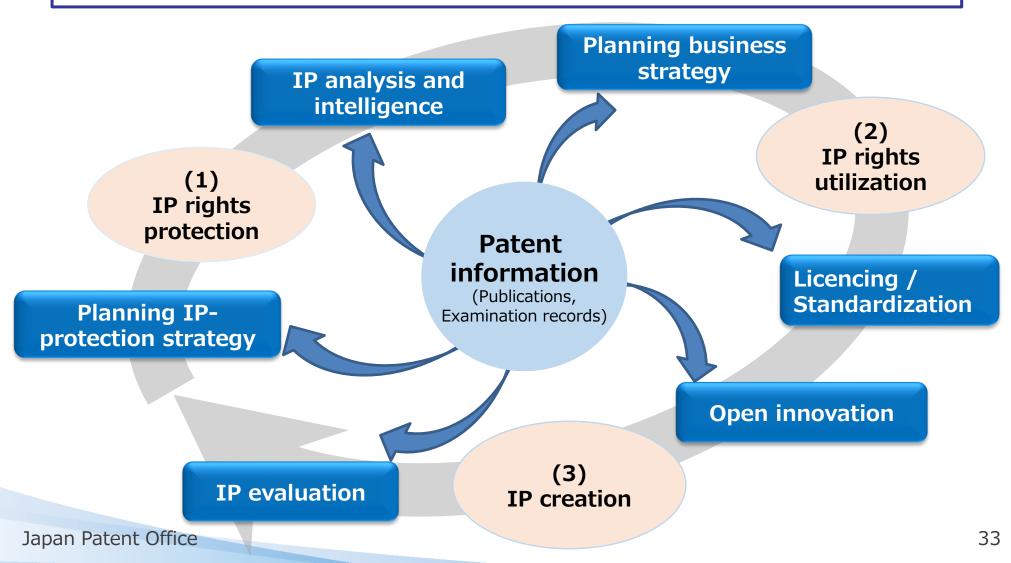
- Quality of examination of both bilateral IP offices will be improved
- Both countries can boost their economy by welcoming more

#### **Computerization and digitization**

- IP office can improve the effectiveness of business operations
- The accuracy of business operations can be guaranteed.
- Both of examiners and users can search appropriately by full text.

#### IP information is essential factor for IP ecosystem

IP information is a key driver for IP ecosystem consisting of IP creation, protection and utilization.



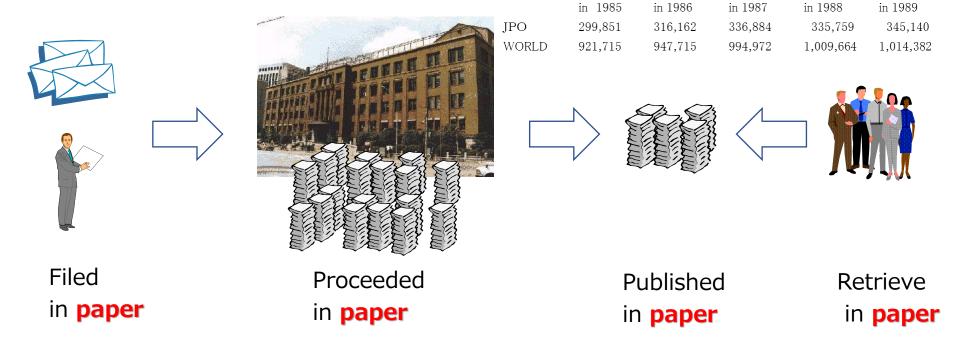
## Thank you!





## **Background of JPO Computerization**

## Before computerization...





- Resource to manage a pile of paper documents
- Delays (filing, examination including formality, publication )

#### **Users' issue**

Difficulties in retrieving prior art from paper gazettes

#### **Background of JPO Computerization**

|--|

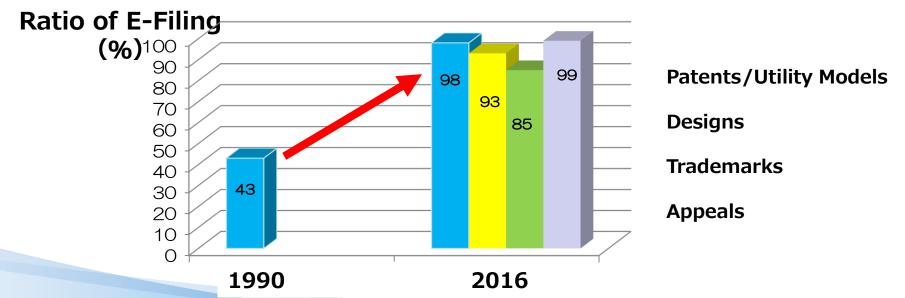
1990 E-filing using a special machine for patents/utility models started

1998 E-filing using PC through ISDN line started

2000 E-filing for designs, trademarks, PCT and appeals and started

2005 E-filing using "Internet Application Software" started

#### **Ratio of E-Filing in IP Applications**



#### **DATA Exchanges Overview**

#### Steps to Data Exchange

- 1 Assign a person in charge of data exchange
- 2 Discuss on conditions for data exchange
- 3 Agree on the data exchange policy and the available list
- 4 Start the data exchange

#### Conditions for Data Exchange Policy

Conditions (Data Types, Range of Use, etc. ) will be arranged by mutual agreement

#### For example…

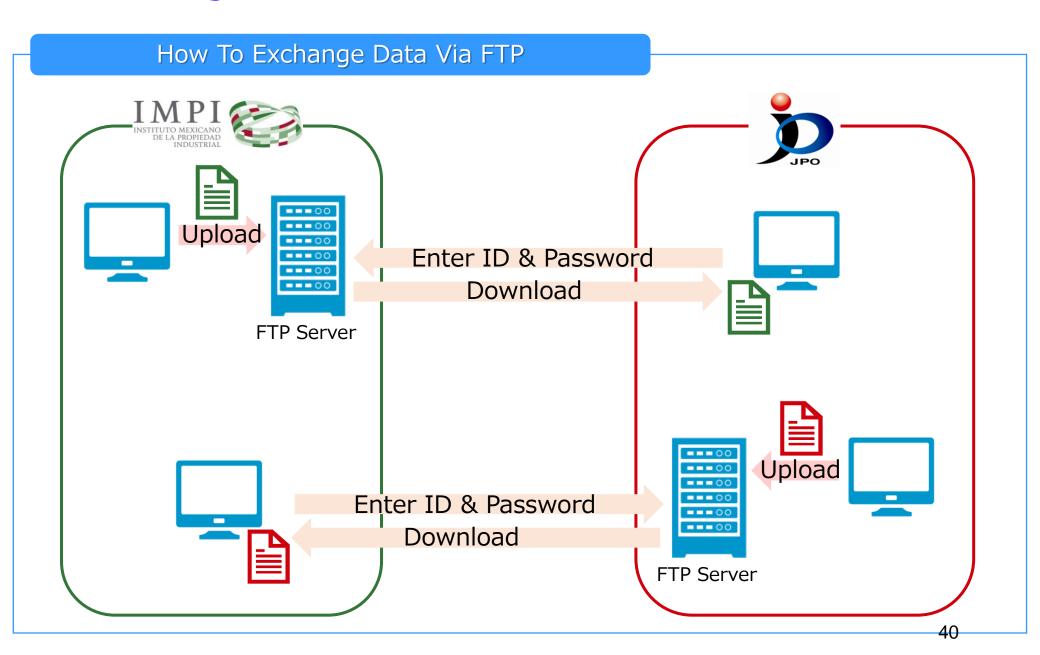
- ◆How to exchange data
  - FTP (recommended) and Internet
    - We using hard disk drive(HDD) is also available
- ◆Data to be exchanged
- O Patent, Utility Model, Design and Trademark related image data, text data, bibliographic data, etc.
- ◆Frequency of data exchange
  - Quarterly, Monthly, Biweekly etc.

#### **Data Exchange Overview**

#### Example of Available List

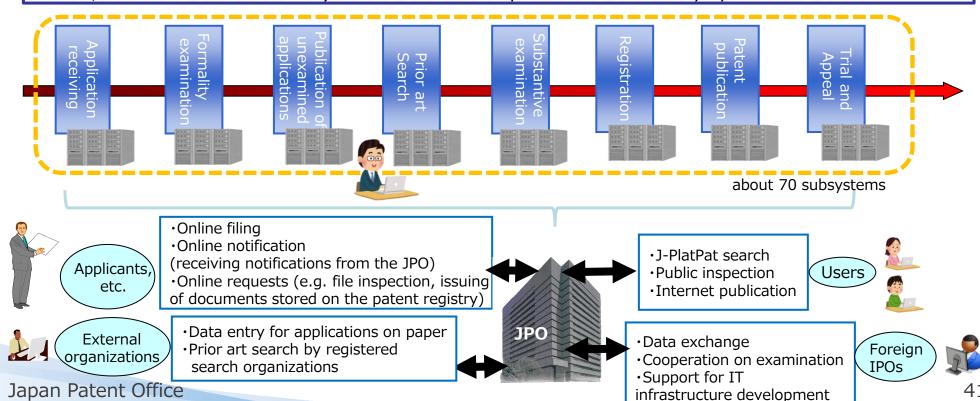
	Category	Offic e	Product Name	Data Form at	coverage
Patent and Data Utility Model		JPO	Image/Text products - kind codes A B U Y* *Note A: patent application B: granted patent U: registered utility model (unexamined) Y: registered utility model (examined) Patent specifications of Japan in Japanese	PDF XML SGML TXT TIF	2004- present 2004- present 1983-2003 1971-1982 1922-1993 1885-1956
		IMPI			
	Classicication Data				
	Abstract				
	Legal status				
Design					
Trade mark					39

#### **Data Exchange Overview**



## **Outline of the JPO System**

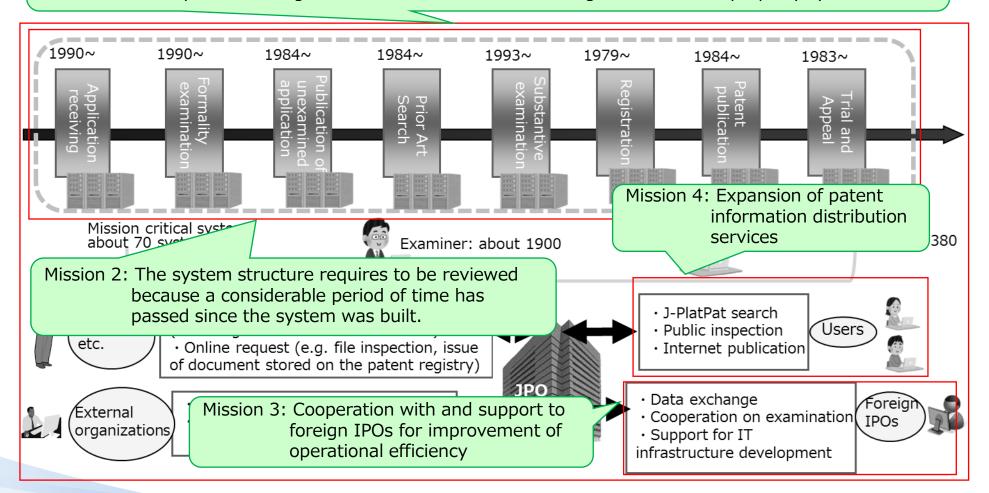
- ➤ In 1990, the JPO launched the world's first e-filing system.
- ➤ The large-scale information system that handles a wide range of operations, such as receiving large numbers of applications (about 500,000 a year) for patents, utility models, designs and trademarks, examinations, registrations, trials and appeals is in operation.
- ➤ So far, the JPO has built the system for each operation, which currently consists of about 70 subsystems.
- > About 93% of applications are made online (patents/utility models: about 99%, designs: about 93%, trademarks: about 84%). Most of the staff operations are already systematized.



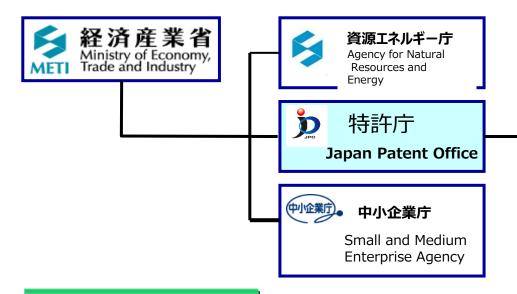
## **Mission of computerization**

Mission 1: To Provide stable administrative services (e.g. 24-hour / 365-day application receiving) and operational environments.

To respond to changes in the environment surrounding the intellectual property system.



## **Organization and Roles of the JPO**



**Roles of JPO** 

- 1. Appropriately granting industrial property (IP) rights
- 2. Drafting measures dealing with IP
- 3. Promoting international harmonization and assistance to developing countries
- 4. Reviewing the IP rights system
- 5. Supporting SMEs and universities
- 6. Improving information services on IP

Policy Planning and Coordination Department

**Trademark and Customer Relations Department** 

Patent and Design Examination
Department (Physics, Optics, Social
Infrastructure and Design)

Patent Examination Department (Mechanical Technology)

Patent Examination Department (Chemistry, Life Science and Material Science)

Patent Examination Department (Electronic Technology)

**Trial and Appeal Department** 



独立行政法人 工業所有権情報·研修館 National Center for Industrial Property Information and Training

## **Organization and Roles of the IT Division**

#### **Policy Planning and Coordination Department**

#### **Information Technology and Patent Information Management Office**

#### Information Technology Policy Planning Office

- IT-related international measures
- IT-related projects
- IT-related budget
- IT-related procurement

# Information Technology System Development Office

- System development, maintenance, and operation according to optimization plan
- Development, maintenance, and operation of current system

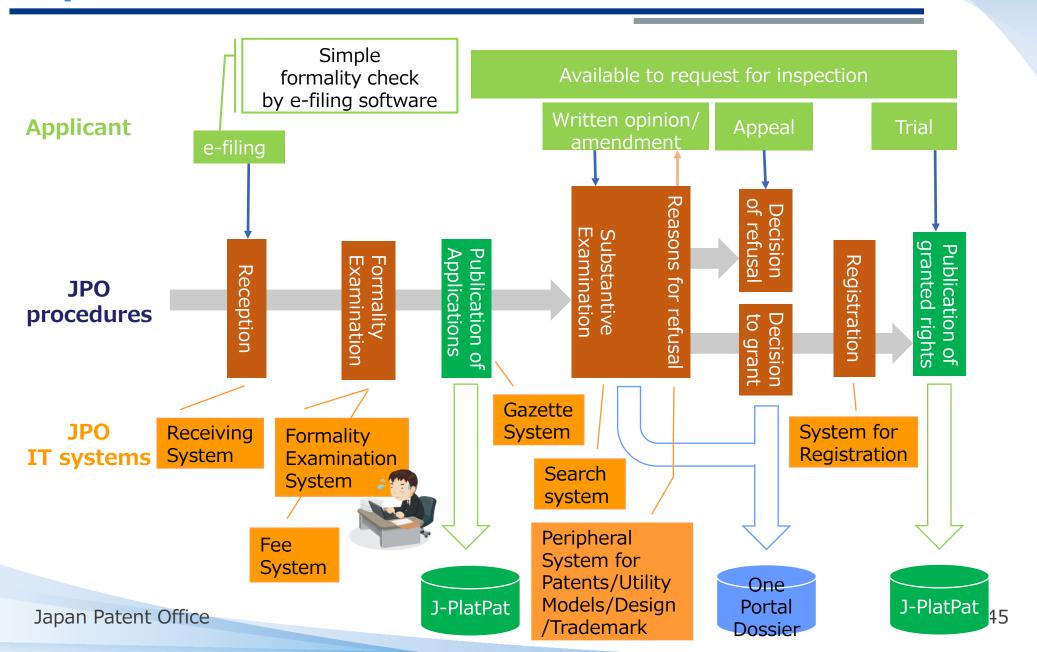
# System Management Support Office

 Support for the management and creation of business visualization materials

## Patent Information Policy Planning Office

- Investigation
  and planning
  related to patent
  information
- Multilingual support of patent information
- Management of patent information

## **Workflow and Related Systems**



## Overview of patent & utility model search system

