ANNUAL TECHNICAL REPORT

ON PATENT INFORMATION ACTIVITIES IN 1998

of the Austrian Patent Office

I. <u>Evolution of patent activities</u>

The numbers of applications/registrations in 1998 in Austria are presented together with the numbers in 1997 (= 100%):

| National patent applications: | 2 307 2 306 | in 1998 in 1997 | |
|---|------------------|--------------------|----------|
| Granted national patents: | 1 355 1 287 | in 1998 in 1997 | (+5,3%) |
| Granted European patents with AT as designated state: | 13 328 14 803 | in 1998 in 1997 | (-10%) |
| Utility model applications: | 885 824 | in 1998 in 1997 | (+7%) |
| Utility model registrations: | 699 671 | in 1998 in 1997 | (+4,2%) |
| Supplementary protection certificate applications: | 37 73 | in 1998 in 1997 | (-49%) |
| Supplementary protection certificate registrations: | 44 52 | in 1998 in 1997 | (-15,4%) |

In 1998 there was an increase in the number of national applications in the fields of fixed construction (13,8%), of transport and packaging (11,7%) and of process engineering (11,2%).

II. <u>Matters concerning the generation, reproduction, distribution and use of</u> <u>primary and secondary sources of patent information</u>

A survey on statistical data concerning the collection of the library and the augmentation of patent documentation in 1998 is attached as ANNEX I to this report.

IIIMatters concerning abstracting, classifying, reclassifying and indexing of
technical information contained in patent documents

Nothing new to report since the 1996 report.

IV <u>Search file establishment and upkeep</u>

Nothing new to report since the 1996 report.

V <u>Activities in the filed of computerized and other mechanized search</u> <u>systems</u>

For online searching for the granting procedure of patent applications as well as for the registration procedure of utility model applications and for carrying out stateof-the-art searches the access possibilities to external databases have been improved by installing emulation software to access the EPOQUE mainframe at the examiners workstations.

Internet access was installed all over the office. All technical examiners now have access to the Internet at their desk top.

The data bases most frequently used during the year of report were: WPI, EPODOC, PAJ, CAS and PATDPA.

Equipment (new configurations): Hardware: Pentium PC for all examiners via Tokken-Ring LAN; Software: B-DOOR/First Page+; Netscape/Internet Explorer.

VI <u>Administration of the industrial property library and services available</u> to the public

Since 1997 the complete list of periodicals (PCT minimum documentation) from 1899 onwards and the accession lists of the last years are accessed either online via the WIPO Web site or on CD-R.

A new CD-ROM product was produced which contains the Austrian privileges (the precursors of the Austrian patent specifications) from 1852 to 1899, the year when the Austrian Patent Office was established.

Under the <u>ESP@CENET</u> project public access to all patent publications (in facsimile mode) of the Austrian Patent Office which were published within the last four years was provided in 1998.

The Home Page of the Austrian Patent Office was redesigned and, due to the change of the structure of the Internet services, the URL of the Home Page has been changed to:

http://www.patent.bmwa.gv.at

In February 1999 Internet access to the patent register data via the Austrian Home Page was made available for the public. The access is subjected to subscription.

VII <u>Matters concerning mutual exchange of patent documentation and</u> <u>information</u>

85% of all patent documents are exchanged on CD-ROM under the agreement of the mutual exchange of patent documentation.

VIII Other relevant matters concerning education and training in, and promotion of, the use of patent information, including technical assistance to developing countries

Training courses for national and foreign participants:

The patent information awareness program for students, documentation specialists and small-and-medium sized enterprises was continued.

Because of the installation the EPOQUE search system B-Door in 1998, a series of training courses were held for the technical examiners at the office.

Training courses on patent examination procedure (search in electronic databases and in the Internet), semiconductor protection and related matters such as patent documentation and information services were organized at the APO for experts from industrial property offices of the following countries:

| Ukraine | 3 experts | (four days) |
|----------------|-----------|--------------|
| Latvia | 2 experts | (four days) |
| Slovenia | 2 experts | (one week) |
| Czech Republic | 3 experts | (three days) |

Assistance to developing countries:

Two 2-weeks training courses for participants from the IPO of the People's Republic of China were held in June/July and November. The training program focused on

- the examination and granting procedure of patent application
- Federal Law on the protection of utility models (registration procedure)
- searches in electronic databases and the Internet (especially in the filed of chemistry)
- patent documentation on CD-ROM and in paper form for search purposes
- protection of software applications
- EDP supported patent administration
- Organizational structure of the office including the library

In addition, two consultants of the APO were sent to the Chinese Office.

A three-day seminar on administrative issues in the patent and trademark procedure was held for delegates from ten Eurasian countries.

Two one-day seminars on patents as a source of technical information and on online searching in electronic databases were organized for participants from the IPOs of Turkey, Asia, Latin America and Africa at the office in cooperation with WIPO and EPO.

In cooperation with WIPO a three-week training course on search and examination procedures was held for members of the industrial property offices of Brazil, Cuba, Egypt, Ethiopia, Indonesia and Saudi Arabia. In addition to a presentation of

the organization and administration system of the office and of the library the training program included lectures on

- examination and granting procedures of patent applications
- structure and use of the IPC system
- manual and online searching
- build-up and upkeep of the patent document files which are only at the disposal of the technical examiners
- patent documentation on CD-ROM
- EDP assisted administration management system of the office
- introduction to the PCT
- introduction to the GATT-Trips agreement

Promotional activities:

The APO welcomed high-ranking delegations from the intellectual property offices of Hungary, Russia and Ukraine to discuss issues on bilateral cooperation and to exchange experiences in the field of industrial property protection.

The EPO's Travelling Exhibition was presented at the APO.

IX Other relevant matters

None.

LIST OF DOCUMENTS 1999

AUGMENTATION in 1998

LIBRARY

of the

AUSTRIAN PATENT OFFICE

Dr. Ingrid Weidinger Austrian Patent Office, January 1999

Statistical Data about the Patent Documentation in the Library of the Austrian Patent Office

| COLLECTION | | | | | | | INCREASE |
|----------------------|-------------|------------|------|------|---------|------------|----------|
| | Range of nu | umbers | Year | | Carrier | kind | 1998 |
| Country/Organization | from | to | from | То | | | |
| Australia | 1/1921 | | | | MFR | PS/A,B | |
| | 100.001 | 496.937 | 1937 | | MFR | PS/A,B | |
| | 496.938 | | 1980 | Cont | Mf | | 16.960 |
| Belgium | 493.079 | | | | MFR | PS/A | |
| | 793.151 | 895.528 | 1974 | 1982 | MFLK | | |
| | 895.529 | 1,005.730 | 1983 | 1994 | | | |
| | 1,002.342 | | 1991 | Cont | CD | | 598 |
| Bulgaria | 1 | 48.220 | 1952 | 1993 | MFR | PS/A | |
| | 48.221 | | 1993 | Cont | CD | | 256 |
| China (PR) | 85100001 | 1,080.110 | 1985 | | Pp,MFR | AS/PS;A/B; | |
| | 85200001 | 2,151.606 | 1985 | 1993 | Pp,MFR | GM/U | |
| | 1,080.111 | | 1994 | Cont | CD | PS/A | 27.980 |
| | 1,023.371 | | 1994 | Cont | CD | PS/C | 3.609 |
| | 2,151.607 | | 1994 | Cont | CD | GM/Y | 24.314 |
| Denmark | 1 | 129.110 | 1894 | 1974 | MFR | PS/C | |
| | 111.001 | 154.860 | 1968 | 1989 | MFR | AS/B | |
| | 154.861 | 167.888 | 1989 | 1989 | Mf | AS/PS B | |
| | 157.383 | | 1990 | Cont | CD | | 359 |
| Germany (DR) | 1 | 139.378 | 1950 | 1979 | | PS/A,B | |
| | 139.379 | 283.217 | 1980 | 1996 | Mf | | |
| Germany | 1 | 4,019.069 | 1877 | 1991 | Рр | PS/C | |
| | 1,000.001 | 3,038.914 | 1957 | 1983 | Рр | AS/B | |
| | 1,400.001 | 4,021.651 | 1968 | 1991 | Рр | OS/A | |
| | 71 00 012 | 92 13 103 | 1971 | 1992 | Mf | GM/U | |
| | 283.026 | 300.933 | 1990 | 1996 | | PS/A,B | |
| | 4,041.415 | | 1991 | Cont | CD | OS/A | 33.939 |
| | 4,020.865 | | 1991 | Cont | CD | PS/C | 4.001 |
| | 9200100 | | 1992 | Cont | CD | GM/U | 17.482 |
| Finland | 33.003 | 37.825 | 1963 | 1971 | Pp,MFR | PS/C | |
| | 40.001 | | 1968 | | Pp,MFR | AS/B | 10 |
| | 100.001 | | 1997 | Cont | MFR | PS/C | 1.962 |
| France | 317.502 | 2,663.812 | 1902 | 1991 | Рр | AS/PS;A/B; | |
| | 2,663.812 | | 1992 | Cont | CD | AS/A,U | 14.715 |
| | 1 | 8.400 | 1902 | 1968 | Рр | PD/M | |
| | 1 | 96.651 | 1902 | 1968 | Рр | PD/A | |
| | 2,000.001 | | 1970 | | MFLK | PS/B | 9.720 |
| Italy | 242.974 | 1,062.000 | 1926 | 1985 | MFR,Mf | PS/A | |
| | 1/93 | 451/94 | 1993 | 1996 | CD | | |
| Japan | 1/74 | 288.600/94 | 1974 | 1994 | MFR | OS/A | |
| | 06-000001 | | 1994 | Cont | CD | OS/A | 323.168 |
| | 06-000001 | | 1994 | Cont | CD | GM/U | 10.169 |
| | 1/74 | 83.600/94 | 1974 | 1994 | Pp,MFR | PS/B | |
| | 06-000001 | | 1994 | Cont | CD | PS/B | 137.070 |
| | 06-000001 | | 1994 | Cont | CD | GM/Y | 32.270 |
| | 1 | | 1977 | Cont | CD | OS/Ab. | 360.189 |
| Yugoslavia | 7 | 16.461 | 1922 | 1941 | MFR | PS/A | |

| COLLECTION | | | | | | | INCREASE |
|---------------------|-----------|-----------|------|--------------|--------|------------|-----------|
| | 22.609 | | 1961 | Cont | Pp,MFR | | 573 |
| | 1 | | 1997 | Cont | | GM/U | 87 |
| Canada | 462.146 | 998.000 | 1950 | 1975 | MFR | PS/A | |
| | 998.001 | | 1976 | Cont | Mf | | 771 |
| | 2,185.466 | | 1997 | Cont | Mf | | 5.680 |
| Korea (Republikc) | 1/71 | 1.906/79 | 1971 | 1979 | | PD/Ab. | |
| Latvia | 10.001 | | 1997 | Cont | • | PD/A | 2.299 |
| Lithuania | 3.001 | | 1997 | Cont | | PD/A | 1.114 |
| Luxembourg | 87.534 | | 1994 | Cont | | PS/A | 89 |
| Moldova | 49 | | 1995 | Cont | | PD/A | 233 |
| | 1 | 14 | 1995 | 1996 | | GM/U | |
| New Zealand | 211.520 | | 1993 | Cont | | PS/A | |
| Netherlands | 1 | 191.326 | 1913 | 1995 | | PS/C | |
| | 4.000/74 | 20.072/88 | 1975 | | MFLK | OS/A | |
| | 1.581/89 | 94 20 003 | 1989 | | MFR | | |
| | 89 01 389 | 0120000 | 1991 | Cont | | | 39 |
| | 1 000.237 | | 1997 | Cont | | PS/C | 4.558 |
| Norway | 2.841 | | 1892 | | MFR,Pp | PS/C | 2.817 |
| Norway | 115.000 | 148.314 | 1968 | 1983 | | AS/B | 2.017 |
| | 148.315 | 140.314 | 1983 | Cont | | A0/B | 1.981 |
| Austria | 140.313 | 389.600 | 1899 | 1989 | | PS/B | 1.901 |
| Austria | 389.601 | 369.000 | 1990 | Cont | | F 3/B | 1.299 |
| | 369.601 | | 1990 | Cont | | PD/T | 13.328 |
| | 10 | | 1902 | Cont | | GM/U | |
| | 7/94 | | 1994 | Cont | | SZ | 700 45 |
| Deland | | 402.000 | | | | | 40 |
| Poland | 1 | 123.000 | 1924 | 1983 Oart | | PS/B | 0.050 |
| | 123.001 | | 1983 | Cont | | | 2.958 |
| Demenie | 153.900 | 400.000 | 1993 | Cont | | DO (A | 4.378 |
| Romania | 40.380 | 100.000 | 1957 | | MFR | PS/A | 4 457 |
| Decesion Federation | 100.001 | 0.005.040 | 1993 | Cont | | 50/4 | 1.457 |
| Russian Federation | 2,005.386 | 2,025.913 | 1995 | 1996 | | PS/A | |
| Sweden | 1 | 227.852 | 1885 | 1974 | | PS/C | |
| | 300.001 | 397.673 | 1968 | | MFR | AS/B | |
| | 397.674 | 420.973 | 1976 | | MFLK | | |
| | 420.974 | 501.988 | 1982 | 1996 | | | |
| <u> </u> | 500.001 | | 1995 | Cont | | PS/C | 2.278 |
| Switzerland | 1 | 623.441 | 1888 | 1979 | | PS/B;AS/A; | |
| | 623.442 | 672.865 | 1980 | 1989 | | | |
| | 672.866 | | 1990 | Cont | | | 738 |
| | 1 | 699 | 1888 | 1907 | | PD/A | |
| Slovakia | 277.683 | | 1994 | Cont | | PD/A | 485 |
| | 278.200 | | 1997 | Cont | | PD/A | 911 |
| Slowenia | 910001 | | 1992 | 1996 | | PD/A | |
| Soviet Union | 1 | 1,281.185 | 1924 | | MFR | PS/A | |
| | 1,281.186 | 1,804.701 | 1983 | 1996 | | | |
| Spain | 1/84 | 250/89 | 1984 | 1989 | | AS/A | |
| | 2,000.001 | 2,011.202 | 1988 | 1989 | | PS/A | |
| | 2,011.203 | | 1990 | Cont | CD | | 15.083 |
| Czech Republic | 277.680 | | 1995 | Cont | CD | PS/B | 2.521 |
| Czechoslovakia | 1 | 253.350 | 1920 | 1987 | MFR | PS/B | |
| | 253.351 | 272.050 | 1987 | 1992 | Рр | | |
| | 272.051 | | 1993 | Cont | CD | | Fehlt |

| COLLECTION | | | | | | | INCREASE |
|---------------|-----------|------------|------|------|-----|---------|----------|
| Ukraine | 1 | | 1995 | Cont | Рр | PS/A | 5.162 |
| | 1 | | 1995 | Cont | | GM/U | 74 |
| Hungary | 5.801 | 203.999 | 1896 | 1993 | MFR | PS/A | |
| | 204.000 | | 1993 | Cont | CD | | 5.018 |
| United States | 115.301 | 5,077.835 | 1871 | 1991 | Рр | PS | |
| of America | 5,077.836 | 5,377.358 | 1992 | 1994 | MFR | PS | |
| | 4,980.927 | | 1991 | Cont | CD | PS | 150.417 |
| | 4.399 | 34.365 | 1871 | 1993 | Рр | Re | |
| | 28.461 | 34.813 | 1991 | 1994 | MFR | Re | |
| | 34.158 | | 1993 | Cont | | Re | 231 |
| | 1 | 1.200 | 1985 | 1992 | Рр | Н | |
| | 863 | 1.269 | 1991 | 1993 | MFR | Н | |
| | 1.133 | | 1993 | Cont | | Н | 127 |
| | 1 | 8.360 | 1930 | 1993 | Рр | Plant | |
| | 1 | 2.041 | 1981 | 1993 | Рр | B1 | |
| | 555.667 | 999.002 | 1969 | 1980 | | Т | |
| | 100.001 | 109.201 | 1980 | 1988 | Рр | T(N.S.) | |
| | 23.046 | 848.336 | 1975 | 1976 | Рр | В | |
| | 332.170 | | 1993 | | | D | 13.035 |
| United | 1/1617 | 18255/1915 | 1617 | 1915 | Рр | PS/A | |
| Kingdom | 100.001 | 1,605.212 | 1916 | 1996 | Рр | | |
| | 2,000.001 | 2,257.002 | 1979 | 1992 | Рр | AS/A | |
| | 2,057.003 | | 1993 | Cont | CD | | 11.276 |
| Vietnam | 1 | | 1985 | Cont | Рр | PS/A | 218 |
| | 137 | | 1995 | Cont | Рр | GM/U | 22 |
| ARIPO | 1 | 128 | 1985 | 1992 | Mf | PS/A | |
| EPO | 1 | | 1978 | Cont | CD | PA/A | 137.596 |
| | 1 | | 1978 | Cont | CD | PS/B | 36.959 |
| ΟΑΡΙ | 1 | | 1966 | Cont | CD | PD/B | 72 |
| WIPO | 1/78 | | 1978 | Cont | CD | PA/A | 56.228 |

Abbreviations:

- Ab. = Abstract
- AD = Kind of Document
- AS = Patent Application
- CD = CD-ROM
- Cont = continuosly published
- DP = Defensive Publication (USA)
- Mf = Mikrofiche
- MFLK = Aperture Card
- MFR = Microfilm
- OS = Unexamined Patent Applications
- PA = Patent Application
- PI = Plant Patent (USA)
- Pp = Paper Document
- PS = Patent Specification
- PsoP = Patent Specification without Priority
- Re = Reissue or Reexamination
- St.Inv. = Special Kind of Patent Document in the USA