## ANNEX 2

**Examples of Documents on WIPO CASE**

**Australia, Canada and China**

<table>
<thead>
<tr>
<th>Office</th>
<th>AU</th>
<th>CA</th>
<th>CN</th>
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<td>Applications published since</td>
<td>Jan 1, 2006</td>
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<td>Published applications that are filed on and after</td>
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<td>Feb 10, 2010</td>
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<tr>
<td>Specification</td>
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<tr>
<td>• Specifications</td>
<td>• Description</td>
<td>• Invention Publication</td>
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<tr>
<td>• Claims Searched and Examined</td>
<td>• Claim</td>
<td>• Announcement of Revised Invention</td>
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</tr>
<tr>
<td>• Abstract</td>
<td>• Drawing</td>
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| Incoming documents | exs (1) | | |
| Amendment | | Argument |
| Reissue | | Claims |
| Examination Reinstatement | | |
| Extension of Time for Examination | | |
| Amendment After Allowance | | |
| Protest/Prior Art | | |
| Disclaimer | | |
| Final Action - Response | | |
| Re-examination Request Filed | | |
| Response to Reissue Board letter | | |
| Re-examination request filed. | | |
| Small Entity Decl. | | |
| Request for Correction to Amendment | | |

| Outgoing documents | Examination Report | | |
| • Search Information | | |
| • Statement | | |
| • R29 Examiner Requisition | | |
| • R30 (2) Examiner Requisition | | |
| • Final Action | | |
| • Acknowledgement of Rejection of Amendment | | |
| • Acknowledgement of Acceptance of Amendment | | |
| • Re-examination Refused | | |
| • Commissioner's Decision | | |
| • Commissioner's Refusal Letter | | |
| • R89 Examiner Requisition | | |
| • R143 Examiner Requisition | | |
| • R194 Examiner Requisition | | |
| • PAB Letter | | |
| • Int. Preliminary Examination Report | | |
| • Examination requisition | | |
| | • First Office Action | |
| | • First Office Action (PCT) | |
| | • Nth Office Action | |
| | • Notification to Grant Patent | |
| | • Right for Invention | |
| | • Notification to Grant Patent | |
| | • Right for Invention (PCT) | |
| | • Notification of rectification for notification to Grant Patent Right for Invention | |
| | • Notification of rectification for notification to Grant Patent Right for Invention (PCT) | |
| | • First search | |
| | • Supplementary search | |

**Notes:**


**European Patent Office, United Kingdom of Great Britain and Israel**

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<th>Office</th>
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<th>IL</th>
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<td>• Claims Searched or Examined</td>
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</table>
### Incoming documents
- Reply to communication from the Examining Division
- Amendments received before examination
- Amended claims filed after receipt of (European) search report
- Amended description filed after receipt of (European) search report
- Amended claims with annotations
- Amended description with annotations

### Outgoing documents
- European search report
- European search opinion
- Communication from the Examining Division
- Annex to the communication
- Text intended for grant Decision to grant a European patent
- Decision to refuse the application (Examining Division)
- Application deemed to be withdrawn

- Exam Report – search stage
- Exam Report – major issues at search stage
- Exam Opinion – search stage
- Corrected search report
- Further search report
- Amended search report
- Search Statement
- Examination report

- Article 15(5) Search Report
- Examination Report
- Search Strategy

### Notes:
- (4): Includes "Notice of Allowance".
- (5): Only in the field of green technology & for the applications under the expedite examination

### India, Japan, South Korea

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<th>IN</th>
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### Incoming documents
- EXAMINATION REPORT REPLY RECEIVED
- REPLY TO EXAMINATION REPORT
- RESPONSE TO OFFICE ACTION
- REPLY TO FER
- FER REPLY
- RESPONSE TO FER
- WRITTEN SUBMISSIONS AND RELEVANT DOCUMENTS
- REPLY TO HEARING

### Outgoing documents
- EXAMINATION REPORT
- HEARING NOTICE LETTER
- INTIMATION OF GRANT
- ABANDONED LETTER

### New Zealand, Singapore, United States of America

<table>
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<tr>
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<td>Feb 14, 2014</td>
<td>Jan 1, 2003</td>
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### Incoming documents
- Examination Response
- Description of the amendments proposed
- Amendment of Abstract
- Amendment of Claim(s) only
- Amendment of Description with Claim(s)
- Amendment of Description without Claim(s)
- Amendment of Drawing(s) Correction of Description without Claim(s)
- Correction of Drawings
- Full Specification (Grant)
- Response to Election/Restriction
- Applicant Arguments/Remarks Claims
- Amendment/Request for Reconsideration
- Information Disclosures

### Outgoing documents
- Examination Report with Issues
- Examination Report for Acceptance
- Examination Report
- Search Report
- Supplementary Examination Report
- Written Opinion
- Requirement for Restriction/Election
- Search History
- Non-Final Rejection
- Final Rejection
- Notice of Allowance
- Notice of Abandonment
- Interview Summary

### International Bureau

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#### Incoming documents

- Third party correspondence
- Letter accompanying
- Amended claims Art 19
- Late Premature Art. 19
- Purported Art. 19
- Applicant Comments on Observations
- Applicant Correspondence
- Applicant Observation - Cited Patent Document
- Applicant Observation
- Article 19 Amendment
- Third Party Observation
- Informal Comments by Applicant on WO-ISA

#### Outgoing documents

- International Search Report
- International Preliminary Report on Patentability Chapter I, II
- Written Opinion of the International Search Authority
- Supplementary International Search Report
  - (6)

### Notes
(6): some more documents published in PATENTSCOPE

### Examples of Examination-related Documents on WIPO CASE

(Example 1-1) AU2012200024
(Example 1-2) AU2012200976

(Date) (Procedure) (Document Name on WIPO CASE)
Jan., 2012 Filing
  • Specifications
  • Claims Searched or Examined
Sep., 2013 Examination report
  • Examination report
Oct., 2014 Applicant’s response
  • exrs
Nov., 2014 Examination report
  • Examination report
May, 2015 Applicant’s response
  • exrs
  • Claims Searched and Examined
Jun., 2015 Grant
  • Examination report

(Example 2-1) CA2754005

(Date) (Procedure) (Document Name on WIPO CASE)
Feb., 2012 Filing
  • Specifications
  • Claims Searched or Examined
Aug., 2012 Examination report
  • Examination report
Oct., 2012 Applicant’s response
  • exrs
Nov., 2012 Examination report
  • Examination report
  (No response from applicant)
  Refusal
(Example 4-2) EP2010012400
(Example 5-2) GB1205781.6

(Date)  (Procedure)  (Document Name on WIPO CASE)

Filing

Search report

May, 2013  Publication

Nov., 2014

Examination report  • Exam report - search stage

Apr., 2015

Applicant's response  • Claims

Jun., 2015

Examination report  • Exam report - search stage

(No response from applicant)

Terminated before grant  
(Compliance period expired.)

(Example 6-1) IL222175
(Date) (Procedure) (Document Name on WIPO CASE)

Jun., 2012 Filing

Jun., 2013 Examination report
• Request for the Submission of an Opinion

Jul., 2013 Applicant’s response
• [Opinion according to the Notification of Reasons for Refusal] Written Opinion (Written Reply, Written Substantiation)
• [Amendment of Specification etc] Amendment

Nov., 2013 Examination report
• Request for the Submission of an Opinion

Jan., 2014 Applicant’s response
• [Opinion according to the Notification of Reasons for Refusal] Written Opinion (Written Reply, Written Substantiation)
• [Amendment of Specification etc] Amendment
• Written Decision on Registration

Feb., 2014 Grant

(Example 9-2) KR1020100053000

(DATE) (Procedure) (Document Name on WIPO CASE)

Jun., 2010 Filing

Oct., 2013 Examination report
• Request for the Submission of an Opinion

Dec., 2013 Applicant’s response
• [Opinion according to the Notification of Reasons for Refusal] Written Opinion (Written Reply, Written Substantiation)
• [Amendment of Specification etc] Amendment

May, 2014 Examination report
• Request for the Submission of an Opinion

(No response from applicant)

Jul., 2014 Refusal
• Notice of Final Rejection

(Example 10-1) NZ718398
(Example 10-2) NZ701054

(Date) (Procedure) (Document Name on WIPO CASE)
Mar., 2016 Filing • Specification
(Abstract, claims, description and drawings are included in this document.)

Jun., 2016 Examination report • Examination Report with Issues

Dec., 2016 Applicant’s response • Examination Response
• Specification
• Description of the amendments proposed

Dec., 2016 Examination report • Examination Report with Issues

Feb., 2017 Applicant’s response • Examination Response
• Specification
• Description of the amendments proposed

Mar., 2017 Acceptance • Examination Report for Acceptance

Jul., 2017 Grant • Specification

(Example 10-2) NZ701054

(Date) (Procedure) (Document Name on WIPO CASE)
Oct., 2014 Filing • Specification
(Abstract, claims, description and drawings are included in this document.)

Feb., 2017 Examination report • Examination Report with Issues

Sep., 2017 Applicant’s response • Examination Response
• Specification
• Description of the amendments proposed

Sep., 2017 Examination report • Examination Report with Issues

(No response from applicant)

Jan., 2018 Abandonment

(Example 11-1) SG10201400271S
Table of contents:

- Overview
• Accessing the Portal
• Graphic Interface
• Functions of WIPO CASE 3.6
  o a.- To perform a search
  o b.- Extended Family (Timelines View)
  o c.- Extended Family (Tabular View)
  o d.- Simple Family (Timelines and Tabular View)
  o f.- Search History
  o g.- To view the patent details
  o h.- To view patent documents
  o i.- To download multiple documents
  o j.- To compare documents
  o k.- Translation
  o l.- Individual tab for each patent family application
  o n.- Family Citations
  o o.- Notification List
  o p.- Discussion
  o q.- User Preferences
  o r.- Maximize & Restore

• ANNEX 1
• ANNEX 2
• ANNEX 3